

# Planning and Programming Meeting CMAQ and RSTP Funding

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# Overview

- **Code and Policy**
- **Funding for FY2014-2019**
- **Principles for Programming**
- **Changes**
- **Next Steps**

## **CMAQ and RSTP Language in the 2012 Special Session I Virginia Acts of the Assembly, Chapter 3**

### **Item 430**

- 3. Projects funded, in whole or part, from federal funds referred to as congestion mitigation and air quality improvement, shall be selected as directed by the board. Such funds shall be federally obligated within 24 months of their allocation by the board and expended within 48 months of such obligation. If the requirements included in this paragraph are not met by such agency or recipient, then the board shall use such federal funds for any other project eligible under 23 USC 149.**

## **CMAQ and RSTP Language in the 2012 Special Session I Virginia Acts of the Assembly, Chapter 3**

### **Item 430**

- 5. Funds made available to the Metropolitan Planning Organizations known as the Regional Surface Transportation Program for urbanized areas greater than 200,000, shall be federally obligated within 12 months of their allocation by the board and expended within 36 months of such obligation. If the requirements included in this paragraph are not met by the recipient, then the board may rescind the required match for such federal funds.**

## CTB Policy Regarding CMAQ Funding

- The district CTB member will work with the appropriate MPOs and VDOT and DRPT staff to recommend to the Board a list of CMAQ projects for inclusion in the SYIP in order to allocate all six years of CMAQ funds anticipated to be available to the MPOs.
- CMAQ funds will be programmed to maximize the use of federal funds, including fully funding project phases according to the current schedules and estimates.
- CMAQ allocations will be programmed centrally by VDOT and DRPT staff based on the recommended CMAQ projects according to CTB priorities and federal eligibility requirements.
  - Fully fund project phases according to current schedules and estimates
  - Program all six years of allocations
  - Address inactive projects
  - Allocate funds consistent with CTB and regional priorities

# CMAQ Funds for FY 2014-2019

FEDERAL CMAQ FUNDING DISTRIBUTIONS FY 2014 - FY 2019 PRELIMINARY ALLOCATIONS								
<u>NONATTAINMENT AREA</u>	<u>MPO</u>	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>TOTAL</u>
<u>NORFOLK - VIRGINIA</u>	<u>Hampton Roads</u>							
<u>BEACH - NEWPORT NEWS</u>								
	Federal	8,654,232	11,713,944	11,713,944	11,713,944	11,713,944	11,713,944	67,223,952
	Match	2,163,558	2,928,486	2,928,486	2,928,486	2,928,486	2,928,486	16,805,988
	Subtotal	10,817,790	14,642,430	14,642,430	14,642,430	14,642,430	14,642,430	84,029,940
<u>RICHMOND</u>	<u>Richmond</u>							
	Federal	5,002,456	6,761,691	6,761,691	6,761,691	6,761,691	6,761,691	38,810,911
	Match	1,250,614	1,690,423	1,690,423	1,690,423	1,690,423	1,690,423	9,702,729
	Subtotal	6,253,070	8,452,114	8,452,114	8,452,114	8,452,114	8,452,114	48,513,640
	<u>Tri-Cities</u>							
	Federal	791,185	1,067,825	1,067,825	1,067,825	1,067,825	1,067,825	6,130,310
	Match	197,796	266,956	266,956	266,956	266,956	266,956	1,532,576
	Subtotal	988,981	1,334,781	1,334,781	1,334,781	1,334,781	1,334,781	7,662,886
<u>WASHINGTON DC - BALTIMORE MD - VIRGINIA</u>	<u>FAMPO</u>							
	Federal	1,861,889	1,994,117	1,994,117	1,994,117	1,994,117	1,994,117	11,832,474
	Match	465,472	498,529	498,529	498,529	498,529	498,529	2,958,117
	Subtotal	2,327,361	2,492,646	2,492,646	2,492,646	2,492,646	2,492,646	14,790,591
	<u>Washington DC COG</u>							
	Federal	18,874,352	17,750,926	17,750,926	17,750,926	17,750,926	17,750,926	107,628,982
	Match	4,718,588	4,437,732	4,437,732	4,437,732	4,437,732	4,437,732	26,907,248
	Subtotal	23,592,940	22,188,658	22,188,658	22,188,658	22,188,658	22,188,658	134,536,230
<u>CMAQ 2.5 PM Set-aside</u>								
	Federal	6,384,972	6,384,972	6,384,972	6,384,972	6,384,972	6,384,972	38,309,832
	Match	1,596,243	1,596,243	1,596,243	1,596,243	1,596,243	1,596,243	9,577,458
	Subtotal	7,981,215	7,981,215	7,981,215	7,981,215	7,981,215	7,981,215	47,887,290
<u>CTB Distributed</u>								
	Federal	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000	24,000,000
	Match	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	6,000,000
	Subtotal	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	30,000,000
<b>TOTAL</b>		<b>56,961,357</b>	<b>62,091,844</b>	<b>62,091,844</b>	<b>62,091,844</b>	<b>62,091,844</b>	<b>62,091,844</b>	<b>367,420,577</b>

# RSTP Funds for FY 2014-2019

REGIONAL SURFACE TRANSPORTATION PROGRAM FUNDS FY 2014 - FY 2019 PRELIMINARY ALLOCATIONS								
<u>URBANIZED AREA</u>	<u>MPO</u>	<u>FY 2014</u>	<u>FY 2015</u>	<u>FY 2016</u>	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>	<u>TOTAL</u>
<u><b>RICHMOND</b></u>	<u><b>Richmond</b></u>							
	Federal	12,794,521	12,581,901	12,581,901	12,581,901	12,581,901	12,581,901	75,704,026
	Match	3,198,630	3,145,475	3,145,475	3,145,475	3,145,475	3,145,475	18,926,005
	Subtotal	15,993,151	15,727,376	15,727,376	15,727,376	15,727,376	15,727,376	94,630,031
	<u><b>Tri-Cities</b></u>							
	Federal	1,997,865	1,963,648	1,963,648	1,963,648	1,963,648	1,963,648	11,816,105
	Match	499,466	490,912	490,912	490,912	490,912	490,912	2,954,026
	Subtotal	2,497,331	2,454,560	2,454,560	2,454,560	2,454,560	2,454,560	14,770,131
<u><b>VIRGINIA</b></u>								
<u><b>BEACH/HAMPTON ROADS</b></u>	<u><b>Hampton Roads</b></u>							
	Federal	19,436,764	21,960,674	21,960,674	21,960,674	21,960,674	21,960,674	129,240,134
	Match	4,859,191	5,490,169	5,490,169	5,490,169	5,490,169	5,490,169	32,310,036
	Subtotal	24,295,955	27,450,843	27,450,843	27,450,843	27,450,843	27,450,843	161,550,170
<u><b>ROANOKE</b></u>	<u><b>Roanoke</b></u>							
	Federal	6,563,554	3,205,034	3,205,034	3,205,034	3,205,034	3,205,034	22,588,724
	Match	1,640,889	801,259	801,259	801,259	801,259	801,259	5,647,184
	Subtotal	8,204,443	4,006,293	4,006,293	4,006,293	4,006,293	4,006,293	28,235,908
<u><b>WASHINGTON DC</b></u>	<u><b>Washington DC COG</b></u>							
	Federal	35,792,359	33,052,457	33,052,457	33,052,457	33,052,457	33,052,457	201,054,644
	Match	8,948,090	8,263,114	8,263,114	8,263,114	8,263,114	8,263,114	50,263,660
	Subtotal	44,740,449	41,315,571	41,315,571	41,315,571	41,315,571	41,315,571	251,318,304
	<u><b>FAMPO</b></u>							
	Federal	1,287,347	1,053,731	1,053,731	1,053,731	1,053,731	1,053,731	6,556,002
	Match	321,837	263,433	263,433	263,433	263,433	263,433	1,639,002
	Subtotal	1,609,184	1,317,164	1,317,164	1,317,164	1,317,164	1,317,164	8,195,004
<u><b>TOTAL</b></u>		<b>97,340,513</b>	<b>92,271,807</b>	<b>92,271,807</b>	<b>92,271,807</b>	<b>92,271,807</b>	<b>92,271,807</b>	<b>558,699,548</b>

Note: Roanoke MPO is a new TMA

## Current Balance Entry Funds for CMAQ and RSTP

MPO Area	UPC	Previous	FY14	FY15	FY16	FY17	FY18	FY19	Total
CMAQ - Northern VA	70716	\$3,739,434	\$624,737	\$797,662	\$545,795	\$563,449	\$1,252,164	\$0	\$7,523,241
CMAQ - Fredericksburg	70712	\$461,163	\$77,200	\$98,569	\$67,445	\$69,628	\$82,658	\$0	\$856,663
CMAQ - Richmond	70719	\$2,147,071	\$237,975	\$303,846	\$207,905	\$214,630	\$254,795	\$0	\$3,366,222
CMAQ - Tri-Cities	70722	\$443,754	\$37,583	\$47,984	\$32,834	\$33,895	\$40,239	\$0	\$636,289
CMAQ - Hampton	70714	\$2,484,294	\$412,268	\$526,383	\$360,174	\$371,824	\$654,056	\$0	\$4,808,999
<b>Total CMAQ</b>		<b>\$9,275,716</b>	<b>\$1,389,763</b>	<b>\$1,774,444</b>	<b>\$1,214,153</b>	<b>\$1,253,426</b>	<b>\$2,283,912</b>	<b>\$0</b>	<b>\$17,191,414</b>
RSTP - Northern VA	70717	\$0	\$0	\$0	\$0	\$0	\$745,530	\$0	\$745,530
RSTP - Fredericksburg	70713	\$0	\$0	\$0	\$0	\$5,278	\$7,860	\$0	\$13,138
RSTP - Richmond	70721	\$1,570,962	\$0	\$0	\$0	\$0	\$0	\$0	\$1,570,962
RSTP - Tri-Cities	70725	\$63,076	\$0	\$0	\$0	\$0	\$0	\$0	\$63,076
RSTP - Hampton	70715	\$498,256	\$250,207	\$1,181,221	\$1,314,827	\$2,096,589	\$3,611,786	\$0	\$8,942,886
RSTP - Roanoke	104126	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>Total RSTP</b>		<b>\$2,122,294</b>	<b>\$250,207</b>	<b>\$1,181,221</b>	<b>\$1,314,827</b>	<b>\$2,101,867</b>	<b>\$4,365,176</b>	<b>\$0</b>	<b>\$11,335,592</b>
<b>Total CMAQ &amp; RSTP</b>		<b>\$11,398,010</b>	<b>\$1,639,970</b>	<b>\$2,955,665</b>	<b>\$2,528,980</b>	<b>\$3,355,293</b>	<b>\$6,649,088</b>	<b>\$0</b>	<b>\$28,527,006</b>

# Programming Principles

- **Fully fund project phases according to current schedules and estimates**
  - Allocate funds consistent with how they will be obligated and spent
- **Program all six years of allocations**
  - Funds in the first year should be allocated where they can be obligated that year
- **Address inactive projects**
- **Allocate funds consistent with CTB and regional priorities**
- **Review existing projects prior to adding new projects**
  - Cover deficits and move/transfer surpluses
  - Adjust funding for schedule and estimate changes
  - Review and reallocate funds with development, timeline, and inactive issues
  - Fund next phase of existing projects prior to adding new projects

## Changes to CMAQ/RSTP Projects in SYIP

### Current

- All CMAQ and RSTP funded projects show in the VDOT portion of the SYIP, which ensures that budget controls for the funds are easily managed.
- Some projects funded with CMAQ and RSTP are better suited to be administered by DRPT and FTA.
- For those projects, a non-posting allocation code is used and the funds are provided through the budgeting process to DRPT. When the project is ready to move forward, a request is submitted to FHWA to flex the funds to FTA. The flexes occur on a project by project basis.
- Matching funds are drawn down as requested by DRPT. The agreement determines how/when this task is preformed.
- DRPT may also show these projects in their portion of the SYIP, thus showing duplicate information.

## Changes to CMAQ/RSTP Projects in FY 2014-2019 SYIP

### New for FY 2014-2019 SYIP

- Projects that are better suited to be administered by DRPT and FTA will not be included in the VDOT portion of the SYIP, but will be included in the DRPT portion.
  - This approach will be used for projects new to the SYIP and for existing projects that have allocations and start dates in FY 2016 and out.
  - The new and appropriate existing projects would then need to be amended to MPO TIPs and incorporated in DRPT's STIP.
  - Since the current TIPs/STIP is the FFY 2012-FFY 2015, the FY2016 date was selected to avoid the situation where the project is in VDOT's portion of the TIPs/STIP but would appear in the DRPT portion of the SYIP.
- All projects funded with CMAQ and RSTP will be programmed through VDOT's GenMod.

## Changes to CMAQ/RSTP Projects in FY 2014-2019 SYIP

- As there are budget impacts, it will be critical that projects that meet the above criteria be accurately identified. MPOs should communicate this information to their VDOT District contacts when providing CMAQ/RSTP programming information.
- Once the GenMods have been completed, VDOT and DRPT will work with MPOs/localities to agree that projects are accurately identified to show in DRPT's portion of the SYIP.
- Within VDOT's system, a parent UPC will be created for each MPO that has projects to be shifted to DRPT's section. All projects that meet the criteria will be identified as child projects under the line item parent UPC.
- The line item parent UPC and associated projects will not be included in VDOT's portion of the SYIP and will be shown in DPRT's portion.
- After July 1<sup>st</sup> of each year, TIP/STIP amendments will be processed and the CMAQ and RSTP funds shown in DRPT's portion of the SYIP will be flexed from FHWA and FTA.

## Changes to CMAQ/RSTP Projects in FY 2014-2019 SYIP

- This process will accurately reflect the use of the funds, eliminate the current duplication, and provides efficient processing of flexes to FTA.
- Once the budget has been identified as DRPT and the flex processed to FTA, it is a complicated, time consuming process to flex the funds back to FHWA and change the budget from DRPT to VDOT.

## Next Steps

- **CMAQ and RSTP programming through GenMods due April 19<sup>th</sup>**
- **Correctly identify DRPT administered projects in the iSYP project pool**
- **Work with District Staff to submit necessary transfers for FY2013 and previous funding**
- **Review Draft SYIP programming and address any change with the district staff**